

06/19/01

JCS60 U.S. PTO

UTILITY PATENT
APPLICATION TRANSMITTAL(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Check Box, if applicable [] Duplicate

Attorney
Docket No.

991074A

Total Pages

3

First Named Inventor or Application Identifier

Yoshimi TOCHISHITA, et al.

Express Mail Label No.

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JCS71 U.S. PTO

09/883232

06/19/01

APPLICATION ELEMENTS FOR:
**SURFACE-TREATING HOLDER HAVING
TUBULAR STRUCTURE AND METHOD
USING THE SAME (As Amended)**ADDRESS TO: Commissioner for Patents
BOX PATENT APPLICATIONS
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (Incorporated within this form)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages [39]
3. ☒ Drawing(s) (35 USC 113) Total Sheets [8]
4. ☒ Oath or Declaration Total Pages [2]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from prior application (37 CFR 1.63(d) (for continuation/divisional with Box 17 completed).
 - i. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation by reference (usable if box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment to **Sumitomo Special Metals Co., Ltd.** in the prior Application Serial No. **09/407,403**, filed on **September 29, 1999** has been recorded at Reel **010287**, Frame **0266** on **September 29, 1999**. Please **publish the assignee data with the application.**
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

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10. ☐ English translation Document (if applicable)

11. ☒ Information Disclosure Statement ☐ Copies of IDS Citations

12. ☒ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity status is claimed.

15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document(s)

a. Priority of **Japanese** Application No. **10-294695** filed on **October 2, 1998**, is claimed under 35 USC 119. The certified copy has been filed in prior application Serial No. **09/407,304**. (For Continuing Applications, if applicable).

16. ☒ Other: **Substitute Abstract of the Disclosure**

17. ☒ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. 09/407,304

a. ☒ Please amend the specification by inserting after the title: --This application is a continuation of prior application Serial No. **09/407,304** filed **September 29, 1999** --.

b. ☒ Cancel in this application original claims 1-3 and 10-45 of the prior application before calculating the filing fee.

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$710.00
Total Claims	7 - 20	0	x \$18.00	
Independent Claims	2 - 3	0	x \$80.00	
Multiple Dependent Claims			\$270.00	
Basic Filing Fee				\$710.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	
TOTAL				\$710.00

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☒ A check in the amount of \$ 710.00 is enclosed to cover the filing fee.

☐ Please charge our Deposit Account No. 01-2340 in the total amount of \$ to cover the filing fee and the \$ assignment recordation fee. A duplicate of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 01-2340. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name: Stephen G. Adrian

Rg. No.: 32,878

Signature

Date: June 19, 2001